Lingual articulation of the Sūzhōu Chinese labial fricative vowels

Matthew Faytak, Jennifer Kuo, and Shunjie Wang 王顺杰 faytak@ucla.edu

University of California, Los Angeles

ICPhS 19, Melbourne



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Background

Sūzhōu 苏州 Chinese

A Wú Chinese variety, closely related to Shanghainese

- » Estimated 2–3 million speakers¹
- » Less well-described compared to some of its neighbors



¹Yan, 1988; You, 2015; Zhengzhang, 1988. Faytak, Kuo, Wang (UCLA)

Labial fricative vowels

Sūzhōu Chinese's vowel system includes both canonical [u] and two **labial "fricative vowels"**² (摩擦化元音)

- » Vowels with extra supralaryngeal constriction which generates fricative noise and/or dampens formant structure
- » Bilabially compressed or labiodental rather than rounded

More common than one might expect; likely underreported (perceptually similar to [u])

- » Chinese dialects, especially Wú, Mandarin³
- » Tibeto-Burman languages⁴
- » Grassfields Bantu and Bantu A languages (Cameroon, Gabon)⁵

²Ling, 2009.

³Chen and Gussenhoven, 2015; Yuan, Ling, Shen, and Shi, 2019; Zhu, 2004.

⁴Chirkova, Wang, Chen, Amelot, and Antolík, 2015; Dell, 1981; Edmondson, Esling, and Ziwo, 2017.

⁵Connell, 2007; Faytak, 2017; Medjo Mvé, 1997; Olson and Meynadier, 2015.

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Examples from Sūzhōu Chinese

Bilabially compressed $[u^{\beta}]$, which must occur after bilabial stops

- » Frequently realized with trilling, i.e. $[{\ensuremath{\beta}}]$
- » Contrasts with [u]: 补 [pu^{β}] 'mend' \neq 播 [pu] 'broadcast'

 $\textbf{Labiodental} \; [\textbf{w}^v],$ which must occur after labiodental fricatives

- » Noticeable labiodental frication carries on through vowel
- » In complementary distribution with $[u^{\beta}]$ (and [u])



 $\rightarrow \rightarrow \rightarrow$ Increasingly small lip aperture $\rightarrow \rightarrow \rightarrow$

Study question

Since labial activity in $[\omega^{\beta}]$, $[\omega^{\nu}]$ systematically differs from [u], does this condition a systematic difference in **lingual activity**?

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Vowels with simultaneous lingual and labial contributions exhibit **trading relations**, both in regular production and under perturbation⁶

- » Inverse relationship between labial and lingual activity
- » High back rounded [u] most often investigated, however

⁶Ménard, Perrier, Aubin, Savariaux, and Thibeault, 2008; Perkell, Matthies, Svirsky, and Jordan, 1993; Savariaux, Perrier, and Orliaguet, 1995.

Predictions

From trading relations:

Less lingual activity (bunching, backing) during the more heavily lip-constricted vowels $[m^{\beta}]$, $[m^{\nu}]$ compared to [u]

⁷Shadle, Nam, Katsika, Tiede, and Whalen, 2017.

⁸Demolin, 1992; Olson and Meynadier, 2015.

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Predictions

From trading relations:

Less lingual activity (bunching, backing) during the more heavily lip-constricted vowels $[m^{\beta}]$, $[m^{\nu}]$ compared to [u]

Aerodynamic properties of consonants similar to $[u^{\beta}]$, $[u^{v}]$ make **different predictions** for $[u^{\beta}]$

- » Active lowering of the tongue dorsum during labiodental fricatives⁷: congruent
- » Bilabial trills favored near high back vowels such as [u]⁸: not congruent

⁷Shadle et al., 2017.

⁸Demolin, 1992; Olson and Meynadier, 2015.

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Methods

Location and participants

Lingual ultrasound and **audio** recorded in a quiet room in Gūsū district, Sūzhōu (苏州市姑苏区)

- » 15 native Sūzhōu Chinese speakers (13 F), all long-term residents of an urban district of Suzhou⁹
- » See appendix for details of ultrasound setup



⁹Gūsū 姑苏区, Hǔqiū 虎丘区, or Wúzhōng 吴中区 Faytak, Kuo, Wang (UCLA)

Stimuli

Stimuli (after Ling¹⁰) presented in frame sentence using OpenSesame¹¹

Vowel	As in Ling	Stimulus	Gloss
[u]	0	疤 [pu] ⁴⁴	'scar'
[ա ^β]	u	播 [pɯ ^β] ⁴⁴	'spread, sow'
[ɯ ^v]	u	夫 [fɯ ^v] ⁴⁴	'husband'
[i]	I	边 [pi] ⁴⁴	'side'
[æ]	æ	包 [pæ] ⁴⁴	'package'

¹⁰Ling, 2009.

¹¹Mathôt, Schreij, and Theeuwes, 2012.

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Analysis

Single frame at midpoint of target vowels [u], $[u^{\beta}]$, $[u^{v}]$ selected; **contours extracted** using EdgeTrak¹²

Separate **SSANOVA** for each speaker's set of frames¹³

- » Polar splines re-mapped to cartesian coordinates¹⁴
- » Sanity checking, gross overview of tongue shape and relative position

Discrete Fourier transform of contours also carried out¹⁵

» Straightforward comparison of shape properties of contours across speakers

¹²Li, Kambhamettu, and Stone, 2005.

¹³Davidson, 2006.

¹⁴Mielke, 2015.

¹⁵Dawson, Tiede, and Whalen, 2016.

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Details: discrete Fourier transform (DFT)

DFT models each tongue surface contour as a combination of sinusoidal **basis functions**

Each coefficient has **two parts** which can be taken to correspond to bunching (height) and frontness differences

- » **Real parts**: frontness (**higher** = **more front**)
- » Imaginary parts: bunching (higher = more bunched)

Coefficient order: number of peaks in function

- » First, imaginary: degree of simple bunching
- » Second, imaginary: degree of double bunching

Analysis of DFT

To assess differences in tongue contour shape, we submit each of four DFT coefficient-parts to a separate **LMER**

- » i.e., first real, first imaginary, second real, second imaginary
- » Coefficients of order > 2 do not reflect linguistically important tongue shapes

Model structure:

» coeff.-part \sim vowel + time* + (1 + vowel + time | speaker)

*time from start of experiment

Predictions in model terms $[u^{\beta}]$, $[u^{v}]$ will have **smaller** imaginary coefficients than [u]

- » More neutral, less bunched tongue shape
- » Coef. 1, imaginary $\uparrow \land \land$ Coef. 2, imaginary $\uparrow \land \land \land$

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Results

SSANOVA

Substantial variation across speakers, but clear patterns in **tongue dorsum height** emerge

 » [u] generally has a higher tongue dorsum and lower anterodorsum/blade than [u^β] and [u^v]

S03

S07



Right is front

SSANOVA

Fronting of $[u^{\beta}]$, $[u^{\nu}]$ relative to [u] is also sporadically observed

- » Two speakers (S4, S25) produce [u] vs. [u^β], [u^ν] essentially as a backness distinction
- » Several others use both strategies simultaneously (e.g. 6)



S25



Right is front

SSANOVA

Fronting of $[\omega^{\beta}]$, $[\omega^{\nu}]$ relative to [u] is also sporadically observed

- » Two speakers (S4, S25) produce [u] vs. [u^β], [u^ν] essentially as a backness distinction
- » Several others use both strategies simultaneously (e.g. 6)

S06



Right is front

DFT models

Effects of **vowel** for both **imaginary parts** reach significance

- » First coefficient, imaginary part (*p* = 0.00025)
 - » Substantial effect size (β = -5.9, -3.2 for [u^v], [u^β])
- » Second coefficient, imaginary part (*p* = 0.03)

» Smaller effect size (β = -2.9, -1.8 for $[u^v]$, $[u^\beta]$)

» Substantial interspeaker variation, in line with SSANOVA: random effects have high SD (1.66–4.38)

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Not significant:

- » Effect of **time** for any coefficient-part
- » Effect of vowel for real parts

Interpreting DFT model results

The models of DFT coefficients suggest a **more neutral tongue position** for $[u^{v}]$, $[u^{\beta}]$ compared to [u]

» Lower **first imaginary** coefficient means $[u^{v}]$, $[u^{\beta}]$ are less bunched (singly) than [u]

or: (u) basis fcn. $(u^{v}), (u^{\beta})$ basis fcns.

» Lower second~imaginary coefficient means $[\omega^{v},~\omega^{\beta}]$ are less doubly-bunched than [u]

or:
$$(u) = \frac{1}{2} \prod_{\alpha} [u] = \frac{1}{2} \sum_{\alpha} [u^{\alpha}] = \frac{1}{2} \sum_{\alpha} [u^{\alpha}]$$

» Most likely, extra back-raising for [u] produces slight divot in tongue blade

Discussion

Summary of findings

Sūzhōu Chinese [u] exhibits a greater back-raising excursion and thus higher tongue dorsum position than $[u^{\beta}]$ and $[u^{v}]$

- » Trend toward fronting of $[\mathfrak{w}^\beta]$ and $[\mathfrak{w}^\nu]$ relative to $[\mathfrak{u}]$ is also visible
- » Shown with complementary SSANOVA and DFT analyses

In keeping with known **trading relations** between labial articulation and lingual articulation

- » $[\omega^\beta]$ and $[\omega^\nu]$ have more consistent and constricted typical labial activity
- » Accordingly, the tongue dorsum constriction appears to contribute less to the overall production goal

Aerodynamic considerations

Lingual articulation of $[u^v]$ may also reflect aerodynamic requirements for **labiodental fricative noise**

- » Lowered tongue dorsum ensures airflow is impeded at lips alone¹⁶
- » Congruent with labiodental frication as a target for production in $[\boldsymbol{u}^{\boldsymbol{v}}]$

However, tongue dorsum lowering for $[\omega^{\beta}]$ is at odds with reported characteristics of **bilabial trills**

- » Raised tongue dorsum reduces oral cavity volume and makes trilling more likely¹⁷
- » Bilabial trilling in Sūzhōu Chinese $[\omega^{\beta}]$ could be viewed as a **side effect** of the primary articulatory goal of lip compression

¹⁶Shadle et al., 2017.

¹⁷Demolin, 1992.

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Ultrasound details

Device specs

- » Telemed EchoB equipped with PV6.5/10/128 Z-3 convex probe
- » Probe stabilized using Articulate Instruments headset¹⁸
- » Frame rate \sim 54 Hz

Automatic synchronization of audio and ultrasound signals

- » Device's frame strobe signal combined with audio signal using a Scarlett Focusrite 2i2 USB audio interface
- » Processed using **ultratils** Python package¹⁹ and custom Python utilities

¹⁸Scobbie, Wrench, and van der Linden, 2008.

¹⁹Sprouse and Faytak, 2018.

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More DFT details

DFT as implemented in Dawson et al., 2016 is used here

- » Gives magnitude of curvature and phase **independent of size**, **rotation**
- » Has been used to separate tongue contours by degree of bunchedness:



DFT coefficient-parts for all tokens

95% confidence ellipses drawn around each category



DFT models, partial summary

Significant fixed effects; [u] as baseline for "vowel" factor

		Est.	SE	Rnd. SD	$p(>\chi^2)$
vowe	lω ^β	-3.22	1.16	2.80	<0.001
	ω ^v	-5.90	1.45	4.38	
time		-0.019	0.091	0.28	0.84

a. Coefficient 1, imaginary part

b. Coefficient 2, imaginary part

		Est.	SE	Rnd. SD	$p(>\chi^2)$
vowel ω ^β		-1.82	0.76	1.66	0.03
	w۷	-2.92	1.19	3.94	
time		0.052	0.043	0.092	0.23

DFT models, effects plots

With [u] as baseline, small to moderate effects for imaginary parts

